

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Gompers, Paul A. *et al.*

Application No: 09/990,893

Filed: November 14, 2001

Title: *Private Equity Investments*

Examiner: Apple, K. S.

Art Unit: 3693

Attorney Docket No.: **PET-001.01**

RESPONSE TO RESTRICTION REQUIREMENT

Mail Stop: Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 23313-1450

Dear Examiner Apple:

This is in response to the Restriction Requirement mailed December 27, 2006.

Restriction to one of the following species has been required under 35 U.S.C. § 121:

- Species I: Private equity valuation product (claims 1 - 20) based on public and private data.
- Species II: Private equity valuation method (claims 21 - 27) based on time-period data.
- Species III: Private equity valuation method (claims 28 - 34) based on fund raising round accessed value.
- Species IV: Private equity valuation method (claims 35 - 42) based on correlations between index value and performance of private equity investments.

Applicants respectfully elect **without traverse species I, claims 1 - 20**. Applicants reserve the right to prosecute the non-elected claims further, or similar ones, in the instant or subsequently filed patent applications.

A Petition for a Three-Month Extension of Time is submitted concurrently herewith. The Commissioner is hereby authorized to charge the extension fee, as well as any other required fees, to Deposit Account, **No. 06-1448** (reference **PET-001.01**). The Examiner may address any questions raised by this submission to the undersigned at 617-832-1000.

Respectfully submitted,

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Dated: April 27, 2007